

04-13-01

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Title of Invention

Methods and Compositions to Increase Plasma HDL
Levels

Named Inventor(s)

JayRaz Luchoomun, et al.

Attorney Docket

ATH 113 US

Express Mail Label No.

EK906952636US

APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231

ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification, Claims,
and Abstract Total Pages 103
4. ☒ Drawings Total Sheets 5
5. Oath or Declaration Total Pages 108
 - a. ☒ Unexecuted (original or copy)
 - b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17
completed)
 - (i) ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or
8. Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
 ☐ Power of Attorney by assignee
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)
PTO-1449
 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Other: Check \$ 1107.00
postcard receipt

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:
Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS:

Sherry M. Knowles, Esq.
KING & SPALDING
45th Floor
191 Peachtree Street, N.E.
Atlanta, Georgia 30303

By: Sherry Knowles Reg. No. 33,052
Date: April 11, 2001
Telephone: 404.572.4600
Facsimile: 404.572.5145
Customer No. 20786

J1033 U.S. PTO
09/833407

04/11/01

FEE TRANSMITTALAttorney Docket No. ATH 113 US

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): JayRaz Luchoomun, et al.
Filing Date: April 11, 2001
Title: Methods and Compositions to Increase Plasma HDL Levels

The filing fee is calculated as shown below:

1. **FILING FEE:**

| SMALL ENTITY | | | LARGE ENTITY | |
|--|-------|-----------|--------------|----------|
| FOR: | FEE | FEE PAID | FEE | FEE PAID |
| <input checked="" type="checkbox"/> UTILITY FILING FEE | \$355 | \$355 | \$710 | \$ |
| <input type="checkbox"/> DESIGN FILING FEE | \$160 | | \$320 | |
| <input type="checkbox"/> PLANT FILING FEE | \$245 | | \$490 | |
| <input type="checkbox"/> REISSUE FILING FEE | \$355 | | \$710 | |
| <input type="checkbox"/> PROVISIONAL FILING FEE | \$75 | | \$150 | |
| SUBTOTAL (1) | | \$ 355.00 | | \$ |

2. **CLAIMS:**

| SMALL ENTITY | | | | | LARGE ENTITY | |
|--|-----------|-----------|----------|-----------|--------------|-----|
| FOR: | NO. FILED | NO. EXTRA | RATE | FEE | RATE | FEE |
| TOTAL CLAIMS | 68-20 = | 48 | x \$9 = | \$ 432.00 | x \$18 = | |
| INDEP. CLAIMS | 11-3 = | 8 | x \$40 = | \$ 320.00 | x \$80 = | |
| <input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | | +\$135 = | | +\$270 = | |
| SUBTOTAL (2) | | | | \$ 752.00 | | \$ |

3. **ADDITIONAL FEES:**

| SMALL ENTITY | | | LARGE ENTITY | |
|--|-------|----------|--------------|----------|
| FOR: | FEE | FEE PAID | FEE | FEE PAID |
| <input type="checkbox"/> LATE FILING, FEE OR OATH | \$65 | | \$130 | |
| <input type="checkbox"/> NON-ENGLISH SPECIFICATION | \$130 | | \$130 | |
| <input type="checkbox"/> OTHER | | | | |
| SUBTOTAL (3) | | | | |

TOTAL FILING FEES: \$ \$1107.00A check is enclosed for the total amount: \$ \$1107.00☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0980.

KING & SPALDING

45th Floor, 191 Peachtree Street, N.E.

Atlanta, Georgia 30303

Telephone: 404.572.4600

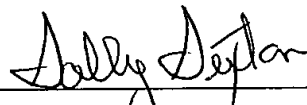
By: Sherry M. Knowles
Sherry M. Knowles, Attorney for Applicant
Reg. No. 33,052

Date: April 11, 2001

UTILITY PATENT APPLICATION FILING

J1033 U.S. PTO
09/833407
04/11/01Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application and Transmittal, along with any documents referred to as attached therein are being deposited with the United States Postal Service on this date, April 11, 2001 in an envelope as "Express Mail Post Office to Addresses" services under 37 CFR 1.10, Mailing Label Number EK906952636US addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.



Sally Sexton
Legal Assistant